PTO/SB/21 (08-03) Approved for use through 08/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/649,533 TRANSMITTAL Filing Date 08/27/2003 **FORM** First Named Inventor Allen M. Jones Art Unit (to be used for all correspondence after initial filing) **Examiner Name Attomey Docket Number** 12078-201US Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Drawing(s) Fee Transmittal Form to Technology Center (TC) Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a **Proprietary Information** After Final **Provisional Application** Power of Attorney, Revocation Status Letter Affidavits/declaration(s) **Change of Correspondence Address** Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): Request for Refund **Express Abandonment Request** CD, Number of CD(s) 1 Information Disclosure Statement Remarks **Certified Copy of Priority** Document(s) **Return Receipt Post Card** Response to Missing Parts/ **Incomplete Application** Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

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Date

Harvey Kaye Perkins, Smith & Cohen, LLP

O1/30/2004

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Allen M. Jones et al

Serial No: Filed:

10/649,533 08/27/2003

For:

Atty Dkt:

12078-201US

To:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

#### INFORMATION DISCLOSURE STATEMENT

This Information Disclosure Statement (Form PTO/SB/08A/B of 3 pages) (including copies of patents and patent applications) is submitted under 37 CFR 1.97(b)(1).

#### **REMARKS**

Applicant submits herewith an Information Disclosure Statement under 37 CFR 1.97(b)(1) prior to the initial Office action. In view thereof, no fee is required.

In view of the voluminous nature of the ten patent applications and 26 patents and printed publications which are being submitted herewith, the copies thereof are provided on a CD-ROM in a padded envelope attached hereto together with the IDS form.

The undersigned's telephone No. is provided below in the event that a call may be deemed desirable by the Examiner.

Dated: January 30, 2004

PERKINS, SMITH & COHEN, LLP

One Beacon Street Boston, MA 02108 By:

- We

Harvey Kaye Reg. No. 18,978

Counsel for Applicants

Respectfully submitted,

301-948-5535

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## **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

Substitute for form 1449A/PTO					C mpl t if Known		
			N 516	01 001155	Application Number	10/649,533	
	INFORMATION DISCLOSURE			CLOSURE	Filing Date	08/27/2003	
	STATEMENT BY APPLICANT				First Named Inventor	Allen M. Jones	
					Group Art Unit	2856	
(use as many sheets as necessary)				necessary)	Examiner Name		
$\overline{S}$	Sheet	1	of	3	Attorney Docket Number	12078-201US	

			U.S. PATENT DOC	JMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Docume:  Kind Co Number  (if know	Name of Patentee or Applicant  of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	3,915,339	Matson	10-28-1975	
	2	3,998,101	Fagan et al	12-21-1976	
	3	4,580,440	Reid et al	04-08-1986	
	4	4,718,268	Reid et al	01-12-1988	
	5	4,764,351	Hennebet et al	08-16-1988	
	6	4,987,767	Corrigan et al	01-29-1991	
	7	5,109,691	Corrigan et al	05-05-1992	
	8	5,322,603	Kameda et al	6-21-1994	
	9	5,345,809	Corrigan et al	09-13-1994	
	10	5,465,607	Corrigan et al	11-14-1995	
	11	5,470,546	Hall	11-28-1995	
	12	5,585,575	Corrigan et al	12-17-1996	
	13	5,591,117	Zelno	01-07-1997	
	14	5,700,426	Schmitthaeusler et al	12-23-1997	
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	17	5,942,699	Ornath et al	08-24-1999	
	18	6,324,927	Ornath et al	12-04-2001	
	19	6,159,422	Graves et al	12-12-2000	
	20	6,183,950	Madonna et al	02-06-2001	

				FORE	IGN PATENT DOCUMEN	rs		
Examiner Initials	Cito		Foreign Patent Docur	nent	Name of Patentee or	Date of Publication of	Pages, Columns, Lines,	
	Cite No. <sup>1</sup>	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> ( <i>if known</i> )	t manus ur ausmuss ur i	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	16
		wo	WO 91/09307		Research Corp. Tech., Inc.	06-27-1991	· —	
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet	2	of	3

Compl t if Known				
Application Number	10/649,533			
Filing Date	08/27/2003			
First Named Inventor	Allen M. Jones			
Group Art Unit				
Examiner Name				
Attorney Docket Number	12078-201US			

				U.S. PATENT DOCL	JMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Docume  Kind C  Number  (if kno	ode <sup>2</sup>	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	6,295,860		Sakairi et al	10-02-2001	
•	2	2003/0086821	,	Matthews	05-08-03	
	3	US 2002/0126008		Lopez et al	09-12-2002	
	4	US 2002/0124664		Call et al	09-12-2002	
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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Applicant of Cited Document	Cited Document Passages or Rele	Where Relevant Passages or Relevant Figures Appear	<b>T</b> €
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C mpl te if Kn wn				
Application Number	10/649,533			
Filing Date	08/27/2003			
First Named Inventor	Allen M. Jones			
Group Art Unit				
Examiner Name				
Attorney Docket Number	12078-201 US			

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>		
	1	cip of Atty Dkt: 12078-197 Copending Application Serial No. 10/277,069 filed 10/21/2002			
	2	cip of Atty Dkt: 12078-164 Copending Application Serial No. 10/201,169 filed 07/22/2002			
	3	Atty Dkt: 12078-153 Copending Application, Serial No. 10/282,977 filed 10/29/2002			
	4	Atty Dkt: 12078-159 Copending Application, Serial No. 10/314,631 filed12/09/2002			
	5	Atty Dkt: 12078-160 Copending Application, Serial No. 10/341,033, filed 01/13/2003			
	6	Atty Dkt: 12078-165 Copending Application, Serial No. 10/328,230 filed 12/23/2002			
	7	Atty Dkt: 12078-171 Copending Application, Serial No. 10/328,264 filed 12/23/2002			
	8	Atty Dkt: 12078-200 Copending Application, Serial No. 10/289,810 filed 11/07/2002			
	9	Atty Dkt: 12078-197; Provisional Application Serial No. 60/330,673 filed 10/26/2001			
	10	Atty Dkt: 12078-164; Provisional Application Serial No. 60/344,848 filed 12/31/01			

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